

TRANSMITTAL LETTER
(General - Patent Pending)

Docket No.
00-058

In Re Application Of: **JAMES WALKER et al.**

Serial No. 09/672,066	Filing Date September 28, 2000	Examiner Not Yet Assigned	Group Art Unit 2162
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Title: **METHOD FOR ALLOWING A CUSTOMER TO OBTAIN A DISCOUNTED PRICE FOR A TRANSACTION AND TERMINAL FOR PERFORMING THE METHOD**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is:

- Request for Corrected Filing Receipt, 2 pp.;**
- Red-lined copy of Filing Receipt, 2 pp.;**
- Copy of Utility Patent Application Transmittal, 4 pp; and**
- Return Receipt Postcard.**

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OCT 1-1 2001
Group 2100

in the above identified application.

- ☒ No additional fee is required.
- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. **50-0271** as described below. A duplicate copy of this sheet is enclosed.
 - ☐ Charge the amount of _____
 - ☒ Credit any overpayment.
 - ☒ Charge any additional fee required.

Signature

Dated: **July 12, 2001**

Dean P. Alderucci
Attorney for Applicants
PTO Registration No. 40,484
203.461.7337/phone
203.461.7300/fax

Customer No. 22927

Alderucci@WalkerDigital.com
CC:

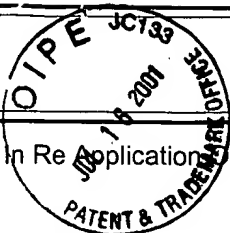


I certify that this document and fee is being deposited
on **July 12, 2001** with the U.S. Postal Service as
first class mail under 37 C.F.R. 1.8 and is addressed to the
Assistant Commissioner for Patents, Washington, D.C.
20231.

Signature of Person Mailing Correspondence

Veronika S. Leliever

Typed or Printed Name of Person Mailing Correspondence

Receipt.

		TRANSMITTAL LETTER (General - Patent Pending)		Docket No. 00-058	
In Re Application of: JAY S. WALKER et al.					
FILE COPY					
Serial No. 09/672,066		Filing Date September 28, 2000		Examiner Not Yet Assigned	
				Group Art Unit 2162	
Title: METHOD FOR ALLOWING A CUSTOMER TO OBTAIN A DISCOUNTED PRICE FOR A TRANSACTION AND TERMINAL FOR PERFORMING THE METHOD					
<u>TO THE ASSISTANT COMMISSIONER FOR PATENTS:</u>				RECEIVED OCT 1-1 2001 Group 2100	
Transmitted herewith is: Request for Corrected Filing Receipt, 2 pp.; Red-lined copy of Filing Receipt, 2 pp.; Copy of Utility Patent Application Transmittal, 4 pp; and Return Receipt Postcard.					
in the above identified application.					
<input checked="" type="checkbox"/> No additional fee is required. <input type="checkbox"/> A check in the amount of _____ is attached. <input checked="" type="checkbox"/> The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 50-0271 as described below. A duplicate copy of this sheet is enclosed. <input type="checkbox"/> Charge the amount of _____ <input checked="" type="checkbox"/> Credit any overpayment. <input checked="" type="checkbox"/> Charge any additional fee required.					
 Signature			Dated: July 12, 2001		
Dean P. Alderucci Attorney for Applicants PTO Registration No. 40,484 203.461.7337/phone 203.461.7300/fax Customer No. 22927 Alderucci@WalkerDigital.com CC:			<div style="border: 1px solid black; padding: 5px;"><p>I certify that this document and fee is being deposited on July 12, 2001 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.</p><p style="text-align: center;"> Signature of Person Mailing Correspondence</p><p style="text-align: center;">Veronika S. Leliever Typed or Printed Name of Person Mailing Correspondence</p></div>		



UNITED STATES PATENT AND TRADEMARK OFFICE

COPY

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/672,066	09/28/2000	2162	1086	00-058	10	29	6

22927

WALKER DIGITAL
FIVE HIGH RIDGE PARK
STAMFORD, CT 06905

FILING RECEIPT

OC000000005592094

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Date Mailed: 12/01/2000

Group 2100

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Jay S. Walker, Ridgefield, CT ;
Daniel E. Tedesco, Westport, CT ;
Stephen C. Tulley, Stamford, CT ;
John M. Packes, Jr., Hawthorne, NY ;
Keith Berner, New York, NY ;
James A. Jorasch, Stamford, CT ;
Russell P. Sammon, Stamford, CT ;
Michiko Kobayashi, Stamford, CT ;

Continuing Data as Claimed by Applicant

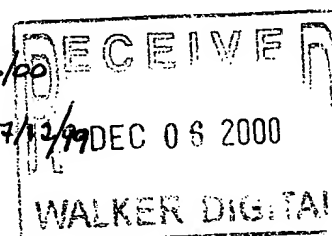
THIS APPLN CLAIMS BENEFIT OF 60/230,676
WHICH IS A CIP OF 09/219,267 12/23/1998
WHICH IS A CIP OF 08/943,483 10/03/1997
WHICH IS A CIP OF 08/923,683 09/04/1997
WHICH IS A CIP OF 08/889,319 07/08/1997 PAT 6,085,169
WHICH IS A CIP OF 08/707,660 09/04/1996 PAT 5,794,207
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WHICH IS A CIP OF 08/982,149 12/01/1997
AND A CIP OF 08/994,426 12/19/1997
WHICH IS A CIP OF 08/920,116 08/26/1997 PAT 6,119,099
WHICH IS A CIP OF 08/822,709 03/21/1997

AND A CIP OF 09/526,907 03/16/00

Foreign Applications

WHICH CLAIMS BENEFIT OF 60/143,490 07/13/99
AND IS A CIP OF 09/219,267 12/23/98

If Required, Foreign Filing License Granted 11/21/2000



Title

AND

Method for allowing a customer to obtain a discounted price for a transaction ~~at~~ terminal for performing the method

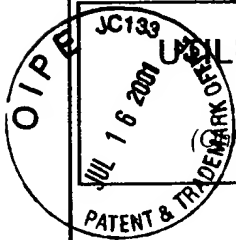
Preliminary Class

705

Data entry by : MUSLIM, ALIA

Team : OIPE

Date: 12/01/2000



UTILITY PATENT APPLICATION TRANSMITTAL (Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.
00-058Total Pages in this Submission
66

TO THE ASSISTANT COMMISSIONER FOR PATENTS

Box Patent Application
Washington, D.C. 20231**COPY**

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

METHOD FOR ALLOWING A CUSTOMER TO OBTAIN A DISCOUNTED PRICE FOR TRANSACTION
AND TERMINAL FOR PERFORMING THE METHOD

and invented by:

Jay S. WALKER; Daniel E. TEDESCO; Stephen C. TULLEY; John M. PACKES, JR.; Keith BEMER;
James A. JORASCH; Russell P. SAMMON; Michiko KOBAYASHI;

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OCT 11 2001

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If a CONTINUATION APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No.: 60/230,676☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No.: 09/219,267

Which is a:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No.: 09/243,483

CONTINUED ON PAGE 4

Enclosed are:

Application Elements

1. ☒ Filing fee as calculated and transmitted as described below
2. ☒ Specification having 35 pages and including the following:
 - a. ☒ Descriptive Title of the Invention
 - b. ☒ Cross References to Related Applications (if applicable)
 - c. ☐ Statement Regarding Federally-sponsored Research/Development (if applicable)
 - d. ☐ Reference to Microfiche Appendix (if applicable)
 - e. ☒ Background of the Invention
 - f. ☒ Brief Summary of the Invention
 - g. ☒ Brief Description of the Drawings (if drawings filed)
 - h. ☒ Detailed Description
 - i. ☒ Claim(s) as Classified Below
 - j. ☒ Abstract of the Disclosure

UTILITY PATENT APPLICATION TRANSMITTAL
(Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.

00-058

Total Pages in this Submission

66

Application Elements (Continued)

3. ☒ Drawing(s) *(when necessary as prescribed by 35 USC 113)*
- a. ☒ Formal Number of Sheets 10 (Figs. 1-10)
- b. ☐ Informal Number of Sheets _____
4. ☒ Oath or Declaration
- a. ☒ Newly executed *(original or copy)* ☐ Unexecuted
- b. ☐ Copy from a prior application (37 CFR 1.63(d)) *(for continuation/divisional application only)*
- c. ☒ With Power of Attorney ☐ Without Power of Attorney
- d. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application,
see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference *(usable if Box 4b is checked)*
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under
Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby
incorporated by reference therein.
6. ☐ Computer Program in Microfiche *(Appendix)*
7. ☐ Nucleotide and/or Amino Acid Sequence Submission *(if applicable, all must be included)*
- a. ☐ Paper Copy
- b. ☐ Computer Readable Copy *(identical to computer copy)*
- c. ☐ Statement Verifying Identical Paper and Computer Readable Copy

Accompanying Application Parts

8. ☒ Assignment Papers *(cover sheet & document(s))*
9. ☐ 37 CFR 3.73(B) Statement *(when there is an assignee)*
10. ☐ English Translation Document *(if applicable)*
11. ☒ Information Disclosure Statement/PTO-1449 ☒ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Acknowledgment postcard
14. ☒ Certificate of Mailing
- ☐ First Class ☒ Express Mail *(Specify Label No.):* EL510722457US

UTILITY PATENT APPLICATION TRANSMITTAL
(Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.
00-058

Total Pages in this Submission
66

Accompanying Application Parts (Continued)

15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)

16. ☒ Additional Enclosures (please identify below):

Addendum page 4

Postcard

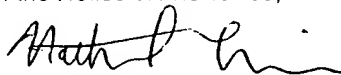
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Group 2100

Fee Calculation and Transmittal

CLAIMS AS FILED

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	29	- 20 =	9	x \$18.00	\$162.00
Indep. Claims	6	- 3 =	3	x \$78.00	\$234.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
BASIC FEE					\$690.00
OTHER FEE (specify purpose)					\$0.00
TOTAL FILING FEE					\$1,086.00

- ☐ A check in the amount of _____ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 50-0271 as described below. A duplicate copy of this sheet is enclosed.
- ☒ Charge the amount of \$1,086.00 as filing fee.
 - ☒ Credit any overpayment.
 - ☒ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
 - ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).



Signature

Nathaniel Levin
Attorney for Applicants Reg. No.: 34,860
Telephone # (203) 461-7114
22927



22927

Dated: September 28, 2000

cc:

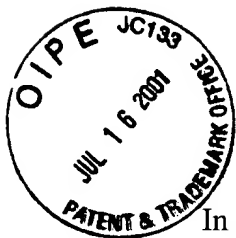
PATENT TRADEMARK OFFICE

UTILITY PATENT APPLICATION TRANSMITTAL (LARGE ENTITY)

Continued from page 1

In addition I hereby claim the benefit of 35 U.S.C. Section 120 of the following United States applications:

Application Serial No.	Filing Date	Status
Which is a continuation-in-part of 08/923,683	September 4, 1997	Pending
Which is a continuation-in-part of 08/889,319	July 8, 1997	Pending
Which is a continuation-in-part of 08/707,660	September 4, 1996	Patented
Which is a continuation-in-part of 09/100,684	June 19, 1998	Pending
Which is a continuation-in-part of 08/982,149	December 1, 1997	Pending
Which is a continuation-in-part of 08/994,426	December 19, 1997	Pending
Which is a continuation-in-part of 08/920,116	August 26, 1997	Pending
Which is a continuation-in-part of 08/822,709	March 21, 1997	Pending
Which is a continuation-in-part of 09/526,907	March 16, 2000	Pending
Which claims benefit of provisional 60/143,490	July 12, 1999	
Which is a continuation-in-part of 09/219,267	December 23, 1998	Pending



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Jay S. Walker et al.

) Customer No.: 22927

For: METHOD FOR ALLOWING A
CUSTOMER TO OBTAIN A
DISCOUNTED PRICE FOR A
TRANSACTION AND TERMINAL FOR
PERFORMING THE METHOD

) Examiner: Not Yet Assigned

Serial No.: 09/672,066

) Group Art Unit: 2162

Filing Date: September 28, 2000

) Docket No.: 00-058

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OCT 1-1 2001

Group 2100

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, D.C. 20231

REQUEST FOR CORRECTED FILING RECEIPT

Sir:

Applicants respectfully request that the filing receipt for the above-identified patent application be corrected to reflect the following:

1. The correct title as "METHOD FOR ALLOWING A CUSTOMER TO OBTAIN A DISCOUNTED PRICE FOR A TRANSACTION AND TERMINAL FOR PERFORMING THE METHOD";

2. Continuing Data as Claimed by Applicant to read:

THIS APPLN CLAIMS BENEFIT OF 60/230,676 09/07/00

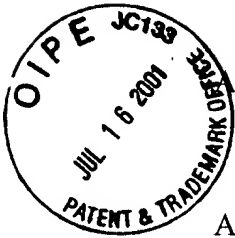
WHICH IS A CIP OF 09/219,267 12/23/1998

WHICH IS A CIP OF 08/943,483 10/03/1997

WHICH IS A CIP OF 08/923,683 09/04/1997

WHICH IS A CIP OF 08/889,319 07/08/1997 PAT 6,085,169

WHICH IS A CIP OF 08/707,660 09/04/1996 PAT 5,794,207



AND A CIP OF 09/100,684 06/19/1998

WHICH IS A CIP OF 08/982,149 12//01/1997

AND A CIP OF 08/994,426, 12/19/1997

WHICH IS A CIP OF 08/920,116 08/26/1997 PAT 6,119,099

WHICH IS A CIP OF 08/822,709 03/21/1997

AND A CIP OF 09/526,907 03/16/00

WHICH CLAIMS BENEFIT OF 60/143,490 07/12/99

AND IS A CIP OF 09/219,267 12/23/1998

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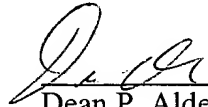
Group 2100

The requested corrections have been indicated in red on the enclosed copy of the official Filing Receipt. Please issue a corrected Filing Receipt in due course.

The Assistant Commissioner is hereby authorized to charge the associated fee in the amount of \$0.00 to Deposit Account No. 50-0271. Order No. 00-058. The Commissioner is further authorized to charge any additional fees which may be required for the submission of this paper, or to credit any overpayment, to Deposit Account No. 50-0271. Order No. 00-058. A duplicate copy of this authorization is attached for such purpose.

Respectfully submitted,

July 12, 2001
Date



Dean P. Alderucci
Attorney for Applicants
PTO Reg. No. 40,484
Walker Digital Corporation
Five High Ridge Park
Stamford, CT 06905-1326
(203) 461-7337 (phone)
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 WASHINGTON, D.C. 2023
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Bib Data Sheet

CONFIRMATION NO. 7007

SERIAL NUMBER 09/672,066	FILING DATE 09/28/2000 RULE	CLASS 705	GROUP ART UNIT 2162	ATTORNEY DOCKET NO. 00-058
------------------------------------	---	---------------------	-------------------------------	--------------------------------------

APPLICANTS

Jay S. Walker, Ridgefield, CT;
 Daniel E. Tedesco, Westport, CT;
 Stephen C. Tulley, Stamford, CT;
 John M. Packes, Jr., Hawthorne, NY;
 Keith Berner, New York, NY;
 James A. Jorasch, Stamford, CT;
 Russell P. Sammon, Stamford, CT;
 Michiko Kobayashi, Stamford, CT;

**** CONTINUING DATA *******

THIS APPLN CLAIMS BENEFIT OF 60/230,676 09/07/2000 *for (9/7/00)*
 AND A CIP OF 09/219,267 12/23/1998
 WHICH IS A CIP OF 08/943,483 10/03/1997
 WHICH IS A CIP OF 08/923,683 09/04/1997
 WHICH IS A CIP OF 08/889,319 07/08/1997 PAT 6,085,169
 WHICH IS A CIP OF 08/707,660 09/04/1996 PAT 5,794,207
 THIS APPLICATION 09/672,066
 IS A CIP OF 09/100,684 06/19/1998
 WHICH IS A CIP OF 08/982,149 12/01/1997 PAT 6,196,458
 AND A CIP OF 08/994,426 12/19/1997
 WHICH IS A CIP OF 08/920,116 08/26/1997 PAT 6,119,099
 WHICH IS A CIP OF 08/822,709 03/21/1997 PAT 6,267,670
 AND A CIP OF 09/526,907 03/16/2000
 WHICH CLAIMS BENEFIT OF 60/143,490 07/12/1999
 AND A CIP OF 09/219,267 12/23/1998

**** FOREIGN APPLICATIONS *********IF REQUIRED, FOREIGN FILING LICENSE GRANTED**

** 11/21/2000

Foreign Priority claimed <input type="checkbox"/> yes <input checked="" type="checkbox"/> no	STATE OR COUNTRY CT	SHEETS DRAWING 10	TOTAL CLAIMS 29	INDEPENDENT CLAIMS 6
35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after Allowance				
Verified and Acknowledged <i>[Signature]</i> Examiner's Signature	Initials			

ADDRESS

22927

TITLE

Method for allowing a customer to obtain a discounted price for a transaction and terminal for performing the method

☐ All Fees

**FILING FEE
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1086

FEES: Authority has been given in Paper
No. _____ to charge/credit DEPOSIT ACCOUNT
No. _____ for following:

☐ 1.16 Fees (Filing)

☐ 1.17 Fees (Processing Ext. of
time)

☐ 1.18 Fees (Issue)

☐ Other _____

☐ Credit